


**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Kiyoshi KANEKO et al.  
Serial No. : 09/677,509  
Filed : October 2, 2000  
For : IMAGE READING APPARATUS AND METHOD AND STORAGE MEDIUM

Group Art Unit : 2622

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

Commissioner For Patents  
Washington, D.C. 20231  
**BOX MISSING PARTS**

Sir:

I hereby certify that the attached Response to Notice to File Missing Parts; Copy of Form PTO 1533; 7 pgs. Executed Declaration/POA; Request for Corrected Filing Receipt. Copy of Official Filing Receipt with corrections noted thereon; Check in the amount of \$130.00; and return receipt postcard (along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner For Patents, Box Assignments, Washington, D.C. 20231.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Date: January 24, 2001

By: Annalisa Leone

Annalisa Leone

**CORRESPONDENCE ADDRESS:**  
**MORGAN & FINNEGAN, L.L.P.**  
345 Park Avenue  
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(212) 758-4800 (Telephone)  
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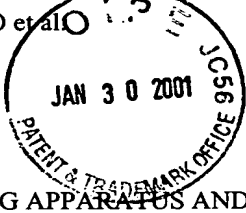
PATENT

Docket: 1232-4650

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**FILING DATE GRANTED**

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
**BOX MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts (FORM PTO-1533) mailed December 12, 2000, applicant(s) enclose herewith an executed Declaration/Power of Attorney for the above-identified application which was filed on October 2, 2000 without a Declaration, pursuant to 37 C.F.R. 1.63.

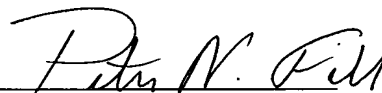
Also enclosed is FORM-PTO-1533 and a check in the amount of One Hundred and Thirty Dollars (\$130.00) to cover the surcharge for filing the Declaration on a date later than the filing date of the application, pursuant to 37 C.F.R. §1.16(e). The Commissioner is hereby authorized to charge any deficiencies in fees to Deposit Account No. 13-4500. Order No. 1232-4650. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: January 24, 2001

By:

  
Peter N. Fill  
Registration No. 38,876

**CORRESPONDENCE ADDRESS:**  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/677,509	10/02/2000	Kiyoshi Kaneko	1232-4650

Morgan & Finnegan LLP  
345 Park Avenue  
New York, NY 10154



## FORMALITIES LETTER



\*OC00000005614030\*

Date Mailed: 12/12/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

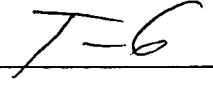
*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/02/2001 ETULJ1 00000009 09677509

01 FEB 05

130.00 OP



PATENT

Docket: 1232-4650

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Applicant(s) : Kiyoshi KANEKO et al.  
Serial No. : 09/677,509 Group Art Unit: 2622  
Filed : October 2, 2000  
For : IMAGE READING APPARATUS AND METHOD AND STORAGE  
MEDIUM

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

The enclosed Official Filing Receipt was recently received. The following correction is respectfully requested.

A. There should be three applicants listed. Therefore, under Applicant(s) insert the following two inventors below the first named applicant:

Tadashi YAMAMOTO, Yokohama-shi, JAPAN;  
Akihiko NAKATANI, Kawasaki-shi, JAPAN.

B. Under Foreign Applications, insert the following:

JAPAN 11-285942 10/6/99; and  
JAPAN 2000-261617 8/30/00.

This information was correctly listed on the Utility Application Transmittal filed on October 2, 2000. Therefore, no fee is believed to be necessary. However, the Commissioner is hereby authorized to charge any additional fees which may be required for this request, or credit any overpayment to Deposit Account 13-4500, Order No. 1232-4650. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN

Dated: January 24, 2001

By: Peter N. Fill  
Peter N. Fill  
Reg. No. 38,876

CORRESPONDENCE ADDRESS:

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7000 DEC 18 P 12:12

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/677,509	10/02/2000	2622N & FINNEGAN LLP	2886	1232-4650	10	37	26

Morgan & Finnegan LLP  
 345 Park Avenue  
 New York, NY 10154



**FILING RECEIPT**



\*OC000000005614029\*

Date Mailed: 12/12/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Kiyoshi Kaneko, Yokohama-shi, JAPAN;  
 Tadashi Yamamoto, Yokohama-shi, JAPAN;  
 Akihiko Nakatani, Kawasaki-shi, JAPAN

Continuing Data as Claimed by Applicant

**Foreign Applications**

JAPAN 11-285942 10/6/99  
 JAPAN 2000-261617 8/30/00

If Required, Foreign Filing License Granted 12/12/2000

CASE 1232-4650 ATTY AL  
 INFORMATION DISCLOSURE  
 STATEMENT January 2, 2001

FOREIGN FILING \_\_\_\_\_

CONVENTION DATE EXPIRES \_\_\_\_\_

**Title**

Image reading apparatus and method and storage medium

**Preliminary Class**

358

Data entry by : HARRISON, BRUCE

Team : OIPE

Date: 12/12/2000

